

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DECEMBER 03, 2000

PTAS

MCGREGOR & ADLER, LLP
BENJAMIN ADLER
8011 CANDLE LN.
HOUSTON, TEXAS 77071

101491552A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/28/2000

REEL/FRAME: 011157/0109
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FUNG, YUEN KAI

DOC DATE: 11/10/1999

ASSIGNOR:

T'ANG, ANN

DOC DATE: 11/10/1999

ASSIGNOR:

GOMER, CHARLES J.

DOC DATE: 11/10/1999

ASSIGNEE:

RESEARCH DEVELOPMENT FOUNDATION
402 NORTH DIVISION STREET
CARSON CITY, NEVADA 89703

SERIAL NUMBER: 09376774

FILING DATE: 08/17/1999

PATENT NUMBER:

ISSUE DATE:

011157/0109 PAGE 2

MAURICE CARTER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

10-19-2000



101491552

OF COMMERCE
Trademark OfficeRecordation Form Cover Sheet

To the Honorable Commissioner of Patents and Trademarks. Please record the attached documents.

1. Name of conveying party(ies):

Yuen Kai Fung
Ann T'Ang
Charles J. Gomer

2. Name and address of
receiving party(ies):

Name: Research Development
Foundation
Address: 402 North Division Street
Carson City, NV 89703

Additional names of conveying party(ies) attached?

☒ NO ☐ YES

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement
☐ Change of Name
☐ OTHER _____

Additional names & addresses attached?

☒ NO ☐ YES

Execution Date(s): November 10, 1999.

4. Application number(s) or patent number(s): 09/376,774

Additional numbers attached? ☒ NO ☐ YES5. Name and address of party to whom correspondence concerning
document should be mailed:

Name:
Dr. Benjamin Adler
McGREGOR & ADLER, LLP

Address:
8011 Candle Ln.
Houston, Texas 77071

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41):.....\$40.00 ☒ enclosed ☐ authorized to charge deposit
account8. Deposit Account number: _____ (duplicate copy attached if paying by deposit
account)

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and
correct and any attached copy is a true copy of the original document.*

Benjamin Aaron Adler Ph.D., J.D.
Name of person signing

Signature

Total number of pages comprising cover sheet: 1

Date

0/19/2000 NTHAT1 00000036 09376774

1 FE:581

40.00 00

D6087

ASSIGNMENT

WHEREAS, we, Yuen Kai Fung and Ann T'Ang, both of 2455 Ivanhoe Drive, Los Angeles, CA 90039, and Charles J. Gomer, of 1059 Fountain Springs Lane, Glendora, CA 91741, (hereinafter collectively called "ASSIGNORS"), are co-inventors and owners of certain new and useful improvements in *Methods to Enhance and Confine Expression of Genes*, an application for United States Letters Patent having been filed on August 17, 1999 and given United States Serial Number 09/376,774;

WHEREAS, the Research Development Foundation (hereinafter called "ASSIGNEE") a non-profit organization duly organized and existing under and by virtue of the laws of the state of Nevada, and having a place of business at 402 North Division Street, Carson City, Nevada 89703, is desirous of acquiring and ASSIGNORS are desirous of assigning to ASSIGNEE all right, title and interest in and to said invention and application identified above;

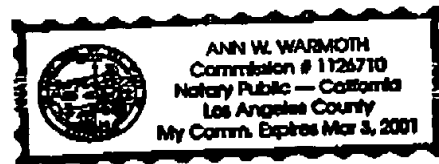
NOW, THEREFORE, ASSIGNORS, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors and assigns the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Oct. 20 1999 11:22AM P3

And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: Nov 10, 1999

Yuen Kai Fung
Yuen Kai Fung

STATE OF California :COUNTY OF Los Angeles :

Before me this 10th day of November, 1999, personally appeared Yuen Kai Fung known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

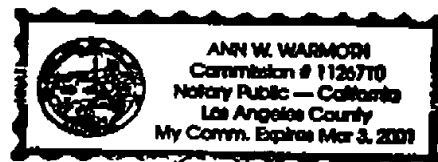
Notary Public Ann W. WarmothMy Commission Expires: Mar. 3, 2001DATE: Nov. 10, 1999

Charles J. Gomer
Charles Gomer

STATE OF California :COUNTY OF Los Angeles :

Before me this 10th day of November, 1999, personally appeared Charles J. Gomer known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Ann W. Warmoth
Notary Public
Mar. 3, 2001
My Commission Expires:



DATE: 11/10/99

Anne T'Ang
Anne T'Ang

STATE OF California:

COUNTY OF Los Angeles:

Before me this 10th day of November, 1999, personally appeared Anne T'Ang known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that she executed the same as her free act and deed for the purposes therein contained.

Notary Public Ann W. Warmoth

My Commission Expires: Mar. 3, 2001

